

3. APPROPRIATENESS - PLEASE COMPLETE IF YOU HAVE NOT RECEIVED A RECOMMENDATION

The questions below are designed to ensure that you have fully considered all the important aspect of your investment. You need to fill in this section if you have not received a personal recommendation from a financial adviser to buy this product.

Have you invested in a similar product within the last five years, or do you work in the financial services sector in activities relating to this type of investment? Yes No

Do you know what the maximum and minimum returns of the product are, and are aware of the possibility that you may receive less than your original investment at Maturity? Yes No

Are you aware of the manner in which stock market movements can influence the return you could receive at Maturity? Yes No

Do you understand the tax implications of your chosen method of investing in the Plan? Yes No

Do you believe that you will be able to leave your investment untouched for the full investment term? Yes No

Do you understand that if you withdraw from the Plan before the end of the Investment Term, you may lose some of your investment? Yes No

Do you know what the charges associated with your investment are, and how they will affect your investment return? Yes No

Have you read the full Terms and Conditions, including both the General Terms and the Plan Specific Terms? Yes No

4. DECLARATION AND AUTHORISATION

I/we declare that:

All subscriptions made, and to be made, belong to me/us;

I am/we are 18 years of age or over;

I am/we are not a US Persons nor am I/we acting on behalf of, a US Person; and that I/we will not assist any person who is a US Person to invest in this Plan. Further I/we agree to inform you immediately should I/we become a resident of the United States; and

I am/we are resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I/we will inform Jubilee FP Administration Centre, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG, if I/we cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

Jubilee FP and its affiliate companies may:

- i) make/perform credit reference, identity, electoral register, fraud, money laundering, and other enquiries/searches in respect of you; and
- ii) disclose your full account information to credit reference agencies, fraud prevention agencies and insurance companies. Credit reference agencies will maintain a record of our searches and the information that we provide them. If you give us false or inaccurate information, or we suspect fraud, we will record this with fraud prevention agencies. Such records and information may then be used by third parties: to make decisions on you or other members of your household on credit, motor, household, life and other insurance facilities (including handling any claims); for debt tracing; and to prevent fraud and money laundering. Records held by credit reference agencies may be

linked to records about persons financially associated with you, which may be taken into account when assessing your application.

The information given in this application form is true and correct to the best of my/our knowledge and belief and I/we will inform the Account Manager without delay of any change in my/our circumstances affecting any of the information in this form.

ISA declaration

Please read the ISA declarations carefully, then sign and date the declaration below:

If you have selected a Stocks & Shares ISA in Section 2 for 2011/2012, by signing below you are declaring as follows:

"I have not subscribed and will not subscribe to another Stocks & Shares ISA for the tax year 2011/2012. I have not subscribed and will not subscribe more than £10,680 in total to a Cash ISA and a Stocks & Shares ISA in the same tax year."

I/we authorise Jubilee FP:

To hold my/our cash subscriptions, ISA investments (as appropriate), interest, dividends and any other rights or proceeds in respect of those investments and any other cash;

To make on my/our behalf any claims for tax relief in respect of investments; and

On my/our written request to transfer or pay to me/us, as the case may be, ISA investments (as appropriate), interests, dividends, rights or other proceeds in respect of such investments or any cash.

Money laundering regulations

Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity. This will normally be a passport or similar form of identity check together with proof of address from a gas bill, electricity or similar.

By signing, I/we confirm that I/we have read, understood and agreed to be bound by this declaration, the information supporting this application form, the Plan Specific Terms and Conditions and the General Terms & Conditions used and disclosed. I/we acknowledge that the Plan Manager will only provide an annual report on the value of my/our investment.

Signed
(first applicant)

Date

DD/MM/CCYY

Signed
(joint applicant)

Date

DD/MM/CCYY

5. SUBSCRIPTION

Please note that joint applications can be accepted for direct investment only. For ISA transfers, see Section 7 at the bottom of this page.

Investment Plan Name:

Plan Name (Please complete)

Investment Amount:

Direct investment

I apply to subscribe the following amount.

Direct:

£

Minimum £10,000*
Maximum £1,000,000

ISA investment for the 2011/12 tax year

2011/12:

£

Minimum £10,000*
Maximum £10,680

Total investment

The total investment must be greater than or equal to £10,000.

Total:

£

Minimum £10,000
Maximum £1,000,000

6. PAYMENT METHOD AND APPLICATION FORM CHECKLIST

Please enclose a cheque drawn on your personal account for the total amount, payable to 'Jubilee Financial Products LLP Client Monies.' For bankers' drafts and Building Society cheques, please request that the Bank/Building Society prints your name as the account holder. Please send your completed form and cheque to:

Jubilee FP Administration Centre, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG.

If you have any queries, please contact us on 0844 892 0905

Application Form Checklist:

- I have filled in the name and address details for one or both applicants in Section 1, including NI number for ISA applications.
- I have filled in my bank account details in order to receive income directly to my bank account, if applicable.
- I have either received a recommendation from a financial adviser (whose details are included overleaf), or filled in Section 3
- I have chosen an Investment Plan Name **and** an Investment Amount, minimum £10,000 and maximum £1,000,000, in Section 5
- I have enclosed one (Direct, single ISA) or two (Dual ISA) cheques drawn on my personal account for the total amount.

7. SUBSCRIPTION - EXISTING ISA/PEP TRANSFER REQUEST

I apply to transfer the following amount to the Plan (Please complete (a) or (b) as required).

(a) I wish to transfer my 2011/12 tax year ISA

Approximate value

£

(b) I wish to transfer from previous tax years

Approximate value £ Percentage Amount %

Alternatively, do you wish to transfer a specific tax year only?

Tax Year and ISA/PEP	Sell %	Sell value

Existing ISA details

Account number(s) of ISA
Name of existing Plan Manager
Plan Manager telephone number
Address of Plan Manager
Postcode

Existing ISA Plan Manager instructions:

- I instruct the Manager of the ISA/PEP shown opposite to provide Jubilee FP any information they may require in relation to the Plan and to sell the ISA/PEP assets and to send a cheque for the proceeds to the address shown overleaf.
- Any dividends, interest and tax credits arising after the transfer should be paid directly to me.

Title (Mr/Mrs/Miss/Other)
Surname
Full forenames
Permanent residential address
Postcode
Signature
Date

Plan Manager - please see reverse for important instructions!

Important - please read: Data Protection

Use of your information

Jubilee FP take your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ("your information") for the purposes of:

1. Providing you with our products and services and dealing with your enquiries and requests;
2. Administering your investment;
3. Checking your identity to prevent financial crime;
4. Carrying out market research, statistical analysis and customer profiling;
5. Sending you marketing information (by post, telephone, email and SMS) about products and services of Jubilee FP and of third parties whose products and services Jubilee FP offers to its customers;
6. Administrative, compliant, regulatory or law enforcement purposes, whether UK law or otherwise (including without limitation, for complying with and/or enforcing money laundering and anti-terrorism laws and regulations).

Your information will be processed as above, in accordance with the Data Protection Act 1998 and any other relevant UK law or regulation. Jubilee FP will not disclose your information to third parties except: (a) to Affiliates; (b) to UK and other government entities and regulatory bodies; (c) to service providers; (d) to Jubilee FP's lawyers and accountants and others providing professional advice; (e) to any other person or entity Jubilee FP reasonably thinks customary, necessary or advisable for the processing purposes described above or to whom Jubilee FP is obliged by UK or other law or regulation to make the disclosure; or (f) with your consent.

You are entitled by law to opt out of use of your information by Jubilee FP for direct marketing purposes, to be provided with further information about the processing of your information and access to that information (subject to exceptions) and to require inaccurate personal information to be deleted or corrected. If you wish to exercise any of these rights, you may do so by sending a written request to Jubilee FP. Jubilee FP may charge a reasonable fee, subject to any limit set by data protection law, for responding to a request for further information and/or access.

If you do NOT wish to be contacted by Jubilee FP for marketing purposes, please tick here

FINANCIAL ADVISER DETAILS

Have you provided the customer with investment advice in relation to this product? Yes No

If 'No', how has the investment been taken out?
Execution only Yes No

Direct offer Yes No

Company name

Adviser name

Agent reference

FSA number:

IF SACRIFICING COMMISSION, state discount (max 3%) %

Financial Crime Prevention

I confirm that I have completed the appropriate verification of identity checks and attach the Verification of Identity Certificate. Either certified copies of the underlying documentary evidence received or a certified note of the reference numbers and other details of the evidence obtained are enclosed with this certificate. I have sighted the original documents and ensured that any requiring a signature were pre-signed.

Print name

Financial adviser signature

Date DD/MM/CCYY

Access to your information

You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, write to us at:

Jubilee FP Administration Centre, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG.

Existing ISA Plan Manager instructions for transfers:

1. By cheque

Please make the cheque for the proceeds payable to:

'Jubilee Financial Products LLP Client Monies',

and send it to:

Jubilee FP Administration Centre, BNY Mellon House,
Ingrave Road, Brentwood, Essex CM15 8TG

Please **do not** send application-related correspondence to our office or registered address as this may delay processing.

2. By electronic transfer

Please send the proceeds to:

'Jubilee Financial Products LLP Client Monies',

Royal Bank of Scotland

Sort code: 15-10-00

Account: 22760079

The transfer should be referenced with the investor's full name to ensure correct and prompt processing.

If you have any questions, please contact Jubilee FP on 0844 892 0905.